

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): D. Lambert et al.  
Case: 10-1  
Serial No.: To Be Assigned  
Filing Date: March 26, 2004  
Title: Method and Apparatus for Event Monitoring  
in an Information Processing System  
Group: To Be Assigned  
Examiner: To Be Assigned

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

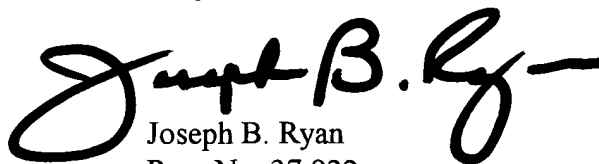
Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

1. R.A. Maxion et al., "A Case Study of Ethernet Anomalies in a Distributed Computing Environment," IEEE Transactions on Reliability, Vol. 39, No. 4, pp. 433-443, October 1990.
2. F. Feather et al., "Fault Detection in an Ethernet Network Using Anomaly Signature Matching," Proceedings of SIGCOMM '93, Ithaca, New York, pp. 279-288, September 1993.
3. M. Thottan et al., "Proactive Anomaly Detection Using Distributed Intelligent Agents," IEEE Network, pp. 21-27, September/October 1998.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,



Date: March 26, 2004

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**FORM PTO-1449 (MODIFIED)**

Applicant(s): D. Lambert et al.  
Case: 10-1  
Serial No.: TBA  
Filing Date: March 26, 2004  
Group: TBA

**LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

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**U.S. PATENT DOCUMENTS**

EXAMINER				FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME	IF APPROPRIATE

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**FOREIGN PATENT DOCUMENTS**

EXAMINER				TRANSLATION	
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	YES NO

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**OTHER DOCUMENTS**

EXAMINER		
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

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- 1. R.A. Maxion et al., "A Case Study of Ethernet Anomalies in a Distributed Computing Environment," IEEE Transactions on Reliability, Vol. 39, No. 4, pp. 433-443, October 1990.
  - 2. F. Feather et al., "Fault Detection in an Ethernet Network Using Anomaly Signature Matching," Proceedings of SIGCOMM '93, Ithaca, New York, pp. 279-288, September 1993.
  - 3. M. Thottan et al., "Proactive Anomaly Detection Using Distributed Intelligent Agents," IEEE Network, pp. 21-27, September/October 1998.

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Examiner

Date Considered

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**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.